### Edgar Filing: GENOMIC HEALTH INC - Form 3

#### **GENOMIC HEALTH INC**

Form 3

September 28, 2005

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **LIVINGSTON RANDALL S** 

(Last)

(First)

(Middle)

(Month/Day/Year)

09/28/2005

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

GENOMIC HEALTH INC [GHDX]

Person(s) to Issuer

Officer

4. Relationship of Reporting

5. If Amendment, Date Original

Filed(Month/Day/Year)

**STANFORD** 

UNIVERSITY, BUILDING 10, MAIN QUADRANGLE

(Street)

(Check all applicable) 10% Owner \_X\_ Director

\_Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

STANFORD. CAÂ 94305

(City) (State)

(Instr. 4)

1. Title of Security

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3.

Ownership

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

or Exercise

4

5. Conversion Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Title

Amount or

Price of Derivative Security

Derivative Security:

Exercisable

Expiration Date

Number of

Direct (D)

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				Shares	or Indirect (I) (Instr. 5)	
Series E Preferred Stock	(1)	(2)	Common Stock	5,910 (3) \$ (4)	<u>D</u>	Â
Stock Option (right to	(5)	10/06/2014	Common	16,666 <u>(6)</u> \$ 1.	38 D	Â

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

LIVINGSTON RANDALL S

STANFORD UNIVERSITY

BUILDING 10, MAIN QUADRANGLE

STANFORD, CAÂ 94305

## **Signatures**

/s/ G. Bradley Cole, Attorney-In-Fact

09/28/2005

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are exercisable immediately.
- (2) These securities do no have an expiration date.
- Does not include shares of Common Stock that will be issued to the Reporting Person upon consummation of the Issuer's initial public offering (the "IPO") as a result of either (a) the distribution of the conditional dividend of shares of Common Stock declared by the Issuer's Board of Directors on September 8, 2005 in the event the price per share of the Issuer's Common Stock sold in the IPO is \$11.40 or greater (the "Conditional Dividend") or (b) the Increased Conversion Rate (as defined in footnote 4 below).
- Upon consummation of the IPO, every 3 shares of Series E Preferred Stock will be converted into 1 share of Common Stock; provided,

  (4) however, if the price per share of shares sold in the IPO is less than \$11.40, the conversion rate will be 1.128 shares of Common Stock for every 3 shares of Series E Preferred Stock (the "Increased Conversion Rate").
- (5) The option becomes exercisable as to 25% of the shares on October 6, 2005, and becomes exercisable as to 1/48th of the shares each full month thereafter.
- (6) Does not include additional shares of Common Stock underlying the option if the Conditional Dividend is distributed upon consummation of the IPO.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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