S Y BANCORP INC

Form 4 October 02, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** TASMAN NORMAN			2. Issuer Name and Ticker or Trading Symbol S Y BANCORP INC [SYBT]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
14417 RIVER GLADES			(Month/Day/Year) 10/01/2013	X Director 10% Owner Officer (give title below) Other (specify below)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)		
PROSPECT, KY 40059				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip)	

(City)	(State)	(Zip) Ta	ble I - Nor	n-Derivative	Secur	ities Acqu	ired, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securitie onor Dispose (Instr. 3, 4	d of (I))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/01/2013		P	206.146	A	\$ 29.175	30,381.402 (1)	I	Trust-Directors' Deferred Comp Plan
Common Stock							61,146.1342	D	
Common Stock							4,685	I	By Spouse
Common Stock							1,000	I	Trust - Tasman Industries Retirement Plan fbo Principal Owners

Common Stock

69,824.5

6. Date Exercisable and

(Month/Day/Year)

Ι

beneficial interest in shares owned by Hayfield Investment Partners, LLC

Reflects

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	

4. 5. TransactionNumber **Expiration Date** Code of (Instr. 8) Derivative Securities Acquired (A) or

7. Title and Amount of 8. Pri Underlying Securities (Instr. 3 and 4)

Deriv Secur (Instr

Disposed of (D) (Instr. 3, 4, and 5)

Date

Exercisable

Title

Amount or Number

> of Shares

Code V (A) (D)

Option

(Right to \$ 20.2476

Buy

04/21/2005 04/21/2014

Expiration

Date

Common Stock

1,050

Reporting Owners

Reporting Owner Name / Address

Relationships

10% Owner Officer Other Director

TASMAN NORMAN 14417 RIVER GLADES PROSPECT, KY 40059

X

Signatures

//Norman Tasman

10/02/2013

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through dividend reinvestment plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.