ALDER BIOPHARMACEUTICALS INC Form SC 13G/A February 12, 2016 SC 13G

Page 1 of 10

#### **Securities and Exchange Commission**

Washington, D.C. 20549

#### Schedule 13G/A

**Under the Securities Exchange Act of 1934** 

(Amendment No. 1)\*

Alder BioPharmaceuticals, Inc.

(Name of Issuer)

**Common Stock** 

(Title of Class of Securities)

014339105

(CUSIP Number)

**December 31, 2015** 

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

" Rule 13d-1(b)

"Rule 13d-1(c)

x Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

SC 13G Page 2 of 10

| (1)  | Names           | s of rep | orting persons   |
|------|-----------------|----------|--|
| (2)  | H.I.G.<br>Check |          | propriate box if a member of a group (see instructions)                |
| (3)  | SEC u           | se only  |  |
| (4)  | Citizer         | nship o  | r place of organization  |
|      | Delaw           | are (5)  | Sole voting power  |
| Num  | ber of          |          |  |
|      | ares<br>icially | (6)      | 0<br>Shared voting power   |
|      |                 |          |  |
|      | ed by           | (7)      | 0<br>Sole dispositive power  |
| repo | orting          |          |  |
| pei  | rson            | (8)      | 0<br>Shared dispositive power  |
| W    | ith:            |          |  |
| (9)  | Aggre           | gate an  | 0 nount beneficially owned by each reporting person                    |
| (10) | 0<br>Check      | if the a | aggregate amount in Row (9) excludes certain shares (see instructions) |

| (11) | Percent of class       | represented by amount in Row (9)   |
|------|------------------------|--|
| (12) | 0.0% Type of reporting | ng person (see instructions)   |
|      | PN                     |  |
| 1    | H.I.G. Ventures        | Alder, LLC, H.I.GGPII, Inc., Anthony Tamer, Sami Mnaymneh and Aaron Davidson are |

members of a group for purposes of this Schedule 13G/A.

SC 13G Page 3 of 10

| (1)   | Names of reporting persons |          |  |  |
|-------|----------------------------|----------|--|--|
| (2)   | H.I.G<br>Check             |          | propriate box if a member of a group (see instructions)                |  |
| (3)   | SEC u                      | se only  |  |  |
| (4)   | Citizer                    | nship o  | r place of organization  |  |
|       | Delaw                      | are (5)  | Sole voting power  |  |
| Num   | ber of                     |          |  |  |
|       | ares                       | (6)      | 0<br>Shared voting power   |  |
| benet | icially                    |          |  |  |
|       | ed by                      | (7)      | 0<br>Sole dispositive power  |  |
| repo  | orting                     |          |  |  |
| pei   | rson                       | (8)      | 0<br>Shared dispositive power  |  |
| W     | ith:                       |          |  |  |
| (9)   | Aggre                      | gate an  | 0 nount beneficially owned by each reporting person                    |  |
| (10)  | 0<br>Check                 | if the a | aggregate amount in Row (9) excludes certain shares (see instructions) |  |

| (11) | Percent of class represented by amount in Row (9)  |     |
|------|--|-----|
| (12) | 0.0% Type of reporting person (see instructions)   |     |
|      | PN   |     |
| 1    | I.I.G. Ventures Alder, LLC, H.I.GGPII, Inc., Anthony Tamer, Sami Mnaymneh and Aaron Davidson | are |

members of a group for purposes of this Schedule 13G/A.

SC 13G Page 4 of 10

| (1)   | Names           | of rep     | orting persons   |
|-------|-----------------|------------|--|
| (2)   | Sami M<br>Check | -          | propriate box if a member of a group (see instructions)                |
| (3)   | SEC us          | se only    |  |
| (4)   | Citizer         | iship o    | r place of organization  |
|       | United          | States (5) | Sole voting power  |
| Num   | ber of          |            |  |
|       | ares<br>icially | (6)        | 0<br>Shared voting power   |
| ochci | iciany          |            |  |
|       | ed by           | (7)        | 0 Sole dispositive power   |
| repo  | rting           |            |  |
| per   | rson<br>ith:    | (8)        | 0<br>Shared dispositive power  |
| (9)   |                 | gate am    | 0 nount beneficially owned by each reporting person                    |
| (10)  | 0<br>Check      | if the a   | aggregate amount in Row (9) excludes certain shares (see instructions) |

| (11) | Percent of class represented by amount in Row (9)  |
|------|--|
| (12) | 0.0% Type of reporting person (see instructions)   |
|      | IN   |
| 1    | H.I.G. Ventures Alder, LLC, H.I.GGPII, Inc., Anthony Tamer, Sami Mnaymneh and Aaron Davidson are members of a group for purposes of this Schedule 13G/A. |

SC 13G Page 5 of 10

| (1)  | Names           | of rep     | orting persons   |
|------|-----------------|------------|--|
| (2)  | Anthor<br>Check | -          | propriate box if a member of a group (see instructions)                |
| (3)  | SEC us          | se only    |  |
| (4)  | Citizer         | iship oi   | place of organization  |
|      | United          | States (5) | Sole voting power  |
| Num  | ber of          |            |  |
|      | ares<br>icially | (6)        | 0<br>Shared voting power   |
|      | ·               |            |  |
|      | ed by           | (7)        | 0<br>Sole dispositive power  |
| repo | orting          |            |  |
| per  | rson            | (8)        | 0<br>Shared dispositive power  |
| Wi   | ith:            |            |  |
| (9)  | Aggreg          | gate am    | 0 nount beneficially owned by each reporting person                    |
| (10) | 0<br>Check      | if the a   | aggregate amount in Row (9) excludes certain shares (see instructions) |

| (11) | Percent of class represented by amount in Row (9)  |    |
|------|--|----|
| (12) | 0.0% Type of reporting person (see instructions)   |    |
|      | IN   |    |
| 1    | I.I.G. Ventures Alder, LLC, H.I.GGPII, Inc., Anthony Tamer, Sami Mnaymneh and Aaron Davidson a | re |

members of a group for purposes of this Schedule 13G/A.

SC 13G Page 6 of 10

| (1)  | Names                   | s of rep   | orting persons   |
|------|-------------------------|------------|--|
| (2)  | Aaron<br>Check<br>(a) x |            | propriate box if a member of a group (see instructions)                |
| (3)  | SEC us                  | se only    |  |
| (4)  | Citizer                 | nship o    | r place of organization  |
|      | United                  | States (5) | Sole voting power  |
| Num  | ber of                  |            |  |
|      | ares<br>icially         | (6)        | 0<br>Shared voting power   |
| own  | ed by                   | (7)        | 0<br>Sole dispositive power  |
| per  | rting                   | (8)        | 0<br>Shared dispositive power  |
| (9)  | th:<br>Aggres           | gate am    | 0 nount beneficially owned by each reporting person                    |
| (10) | 0<br>Check              | if the a   | aggregate amount in Row (9) excludes certain shares (see instructions) |

| (11) | Percent of class represented by amount in Row (9)  |
|------|--|
| (12) | 0.0% Type of reporting person (see instructions)   |
|      | IN   |
| 1    | H.I.G. Ventures Alder, LLC, H.I.GGPII, Inc., Anthony Tamer, Sami Mnaymneh and Aaron Davidson are members of a group for purposes of this Schedule 13G/A. |

SC 13G

2(e) CUSIP No.:

Item 1(a) Name of issuer: Alder BioPharmaceuticals, Inc. Item 1(b) Address of issuer s principal executive offices: 11804 North Creek Parkway South Bothell, WA 98011 2(a) Name of person filing: H.I.G. Ventures Alder, LLC H.I.G.-GPII, Inc. Sami Mnaymneh **Anthony Tamer** Aaron Davidson 2(b) Address or principal business office or, if none, residence: 1450 Brickell Avenue, 31st Floor Miami, FL 33131 2(c) Citizenship: H.I.G. Ventures Alder, LLC is a Delaware limited liability company. H.I.G.-GPII, Inc. is a Delaware corporation. Sami Mnaymneh is a United States citizen. Anthony Tamer is a United States citizen. Aaron Davidson is a United States citizen. 2(d) Title of class of securities: Common Stock

Page 7 of 10

#### 014339105

- Item 3. If this statement is filed pursuant to §§240.13d 1(b) or 240.13d 2(b) or (c), check whether the person filing is a:
- (a) "Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
- (b) "Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) "Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) "Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a 8);
- (e) "An investment adviser in accordance with §240.13d 1(b)(1)(ii)(E);
- (f) "An employee benefit plan or endowment fund in accordance with §240.13d 1(b)(1)(ii)(F);
- (g) "A parent holding company or control person in accordance with §240.13d 1(b)(1)(ii)(G);

SC 13G Page 8 of 10

- (h) "A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a 3);
- (i) "A non-U.S. institution in accordance with §240.13d 1(b)(1)(ii)(J);
- (k) "Group, in accordance with §240.13d 1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with §240.13d 1(b)(1)(ii)(J), please specify the type of institution:

Not Applicable.

#### Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

See Row 9 of cover page for each Reporting Person.

(b) Percent of class:

See Row 11 of cover page for each Reporting Person.

- (c) Number of shares as to which the person has:
- (i) Sole power to vote or to direct the vote:

See Row 5 of cover page for each Reporting Person.

(ii) Shared power to vote or to direct the vote:

See Row 6 of cover page for each Reporting Person.

(iii) Sole power to dispose or to direct the disposition of:

See Row 7 of cover page for each Reporting Person.

(iv) Shared power to dispose or to direct the disposition of:

See Row 8 of cover page for each Reporting Person.

- Item 5. Ownership of 5 Percent or Less of a Class. If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following x.
- *Item 6.* Ownership of More than 5 Percent on Behalf of Another Person. Not Applicable.
- Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.Not Applicable.

SC 13G Page 9 of 10

*Item 8.* Identification and Classification of Members of the Group. Not Applicable.

*Item 9.* Notice of Dissolution of Group. Not Applicable.

*Item 10.* Certifications Not Applicable.

SC 13G Page 10 of 10

#### Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 12, 2016

H.I.G. Ventures Alder, LLC

By: /s/ Richard H. Siegel Richard H. Siegel Vice President and General Counsel

H.I.G. GPII, Inc.

By: /s/ Richard H. Siegel Richard H. Siegel Vice President and General Counsel

/s/ Anthony Tamer

**Anthony Tamer** 

/s/ Sami Mnaymneh

Sami Mnaymneh

/s/ Aaron Davidson

Aaron Davidson