GLAXOSMITHKLINE PLC Form 6-K May 11, 2016

FORM 6-K

# SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending 11 May 2016

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

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Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

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#### BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Share Option Plan - Ordinary Shares Period of return: 1 November 2015 To: 30 April 2016 From:

Balance of unallotted securities under scheme(s) from

previous return:

8,399,729

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

1,001,663

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

7,398,066

Name of contact: Victoria Whyte 020 8047 5000 Telephone number of contact:

#### BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Share Option Plan - ADS

Period of return: 1 November 2015 To: 30 April 2016 From:

Balance of unallotted securities under scheme(s) from

previous return:

10,386,068

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

502,980

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

9,883,088

Name of contact: Victoria Whyte

Telephone number of contact: 020 8047 5000

#### **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome UK Share Option Scheme

Period of return: From: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

5,119,750

previous return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

5,119,750

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### **BLOCK LISTING SIX MONTHLY RETURN**

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Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome International Share Option Scheme
Period of return: From: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

13,267,156

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

0

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

13,267,156

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome 1999 Share Option Plan

Period of return: From: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

1,040,000

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

0

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

1,040,000

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan -

Approved - Ordinary Shares

Period of return: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

163,606

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

0

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

163,606

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan -

Unapproved - ADS

Period of return: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

275,420

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

0

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

275,420

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

## **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan -

**Unapproved - Ordinary** 

Period of return: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

100,000

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

0

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

100,000

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Savings Related Share Option Scheme

Period of return: From: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

2,742,626

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

0

scheme(s) during period (see LR3.5.7G):

U

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

2,742,626

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 11 May 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline plc Sharesave Plan 2012

Period of return: From: 1 November 2015 To: 30 April 2016

Balance of unallotted securities under scheme(s) from

previous return:

3,603,722

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase 0

has been applied for):

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

3,109,531

Equals: Balance under scheme(s) not yet issued/allotted

at end of period:

494,191

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: May 11, 2016

By: VICTORIA WHYTE

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Victoria Whyte Authorised Signatory for and on behalf of GlaxoSmithKline plc