GLAXOSMITHKLINE PLC Form 6-K May 17, 2013

FORM 6-K

# SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending May 2013

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

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Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

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# **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Share Option Plan – Ordinary Shares

Period of return: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from

previous return:

9,717,201

Plus: The amount by which the block scheme(s) has been

increased since the date of the last return (if any increase has 10,000,000

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

11,294,858

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

8,422,343

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

# **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Share Option Plan - ADS

Period of return: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from

previous return:

8,362,078

Plus: The amount by which the block scheme(s) has been

increased since the date of the last return (if any increase has 15,000,000

been applied for):

14,701,554

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

8,660,524

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

# **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome UK Share Option Scheme

Period of return: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from 5.119.750

previous return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

5,119,750

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

# **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome International Share Option Scheme
Period of return: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from

previous return:

13,267,156

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

13,267,156

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

# **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome 1999 Share Option Plan

Period of return: From: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from

previous return:

1,040,000

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

1,040,000

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

#### **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan –

Approved – Ordinary Shares

Period of return: From: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from 163.606

previous return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

163,606

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

## BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan –

Unapproved – ADS

Period of return: From: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from 275,420

previous return:

Plus: The amount by which the block scheme(s) has been

increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

275,420

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

## **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan –

Unapproved – Ordinary

Period of return: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from

previous return:

100,000

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

100,000

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

## **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Savings Related Share Option Scheme

Period of return: 1 November 2012 To: 13 May 2013

3,889,981

Balance of unallotted securities under scheme(s) from

previous return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has 0

been applied for):

Less: Number of securities issued/allotted under scheme(s)

during period (see LR3.5.7G):

1,077,551

Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

2,812,430

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

# **BLOCK LISTING SIX MONTHLY RETURN**

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 17 May 2013

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline plc Sharesave plan 2012

Period of return: 1 November 2012 To: 13 May 2013

Balance of unallotted securities under scheme(s) from

previous return:

U

Plus: The amount by which the block scheme(s) has been

increased since the date of the last return (if any increase has 5,000

been applied for):

Less: Number of securities issued/allotted under scheme(s) 4

during period (see LR3.5.7G):

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Equals: Balance under scheme(s) not yet issued/allotted at

end of period:

4,996

Name of contact: Victoria Whyte Telephone number of contact: 020 8047 5000

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: May 17, 2013

By: VICTORIA WHYTE

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Victoria Whyte Authorised Signatory for and on behalf of GlaxoSmithKline plc