Parametric Sound Corp Form 3 January 27, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

FOX KENNETH A

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/15/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Parametric Sound Corp [PAMT]

4. Relationship of Reporting Person(s) to Issuer

\_X\_ Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O PARAMETRIC SOUND CORPORATION, Â 13771 DANIELSON ST., SUITE L

(Street)

(Check all applicable)

Officer \_X\_ Other (give title below) (specify below) Member of 10% owner group

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

POWAY. CAÂ 92064

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D)

10% Owner

or Indirect (I) (Instr. 5)

Common Stock 15,438,830 Ι SG VTB Holdings, LLC (1) (2)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative

Security:

## Edgar Filing: Parametric Sound Corp - Form 3

Date Expiration Title Exercisable Date

Amount or Security Number of Direct (D) or Indirect (I)

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Shares

FOX KENNETH A C/O PARAMETRIC SOUND CORPORATION 13771 DANIELSON ST., SUITE L POWAY, CAÂ 92064

X Â Â

Member of 10% owner group

# **Signatures**

/s/ John T. Hanson, attorney-in-fact

01/27/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- SG VTB Holdings, LLC is wholly owned by SG Growth Partners I, LP. SGGP I, LLC is the general partner of SG Growth Partners I, LP. (1) SGGP Holdings, LLC exercises investment discretion and control over securities held by SGGP I, LLC. Stripes Group, LLC, which is
- wholly owned by the reporting person, exercises investment discretion and control over securities held by SGGP Holdings, LLC.
- The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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