

BROWN FORMAN CORP

Form 4

October 22, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Frazier Laura

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
10/01/2012

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Class A Common	10/01/2012		G <sup>(2)</sup>		77,232	D	\$ 0 9,086,138	I	Avish Agincourt LLC
Class A Common	10/01/2012		G <sup>(2)</sup>		77,232	A	\$ 0 9,086,138	I	Avish Agincourt LLC
Class A Common	01/02/2013		W <sup>(1)</sup>		1,264,790	D	\$ 0 9,086,138	I	Avish Agincourt LLC
Class A Common	01/02/2013		W <sup>(1)</sup>		1,264,790	A	\$ 0 9,086,138	I	Avish Agincourt

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Class	Date	Code	Shares	Par Value	Cost	Market Value	Category	Beneficiary
Class A Common	02/20/2013	W <sup>(1)</sup>	1,551,004	D	\$ 0	9,086,138	I	Avish Agincourt LLC
Class A Common	02/20/2013	W <sup>(1)</sup>	1,551,004	A	\$ 0	9,086,138	I	Avish Agincourt LLC
Class A Common	08/20/2013	W V	8,250	A	\$ 0	8,250	I	OBF Revocable Trust
Class A Common						9,764	I	Estate of Owsley Frazier <sup>(3)</sup>
Class A Common						207,313	D	
Class A Common						354	I	Cordt Huneke Trust
Class A Common						354	I	Chase Huneke Trust
Class A Common						354	I	Brooke Huneke Trust
Class A Common						354	I	Kelli Huneke Trust
Class A Common						5,922	I	Brooke Huneke UTMA
Class A Common						5,922	I	Kelli Huneke UTMA
Class A Common						4,860	I	Annsley Frazier Thornton Trust
Class B Common	10/01/2012	G <sup>(2)</sup>	35,260	D	\$ 0	4,148,269	I	Avish Agincourt LLC
Class B Common	10/01/2012	G <sup>(2)</sup>	35,260	A	\$ 0	4,148,269	I	Avish Agincourt LLC

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Class B Common	01/02/2013	W <sup>(1)</sup>	577,439	D	\$ 0	4,148,269	I	Avish Agincourt LLC
Class B Common	01/02/2013	W <sup>(1)</sup>	577,439	A	\$ 0	4,148,269	I	Avish Agincourt LLC
Class B Common	02/20/2013	W <sup>(1)</sup>	708,110	D	\$ 0	4,148,269	I	Avish Agincourt LLC
Class B Common	02/20/2013	W <sup>(1)</sup>	708,110	A	\$ 0	4,148,269	I	Avish Agincourt LLC
Class B Common	08/20/2013	W	V 148	A	\$ 0	148	I	OBF Revocable Trust
Class B Common						223,213	D	
Class B Common						1,290	I	Cordt Huneke Trust
Class B Common						1,702	I	Chase Huneke Trust
Class B Common						1,702	I	Brooke Huneke Trust
Class B Common						1,702	I	Kelli Huneke Trust
Class B Common						5,155	I	Brooke Huneke UTMA
Class B Common						5,065	I	Kelli Huneke UTMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Frazier Laura 850 DIXIE HIGHWAY LOUISVILLE, KY 40210		X		

## Signatures

Laura H. Pulliam, Attorney in Fact for Laura Frazier  
 10/22/2013  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents termination of a trust following the death of a family member and the distribution of LLC units from the trust to the insider.
- (2) Represents transfer of LLC units from the insider to a family trust.
- (3) The insider was appointed as executor of the Estate of Owsley Brown Frazier on August 23, 2012.

### Remarks:

The undersigned disclaims beneficial ownership of shares held by any entities set forth on this form except to the extent of her

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.