

FLOTEK INDUSTRIES INC/CN/

Form 4

April 22, 2009

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DUMAS JERRY D SR

2. Issuer Name **and** Ticker or Trading  
Symbol  
FLOTEK INDUSTRIES INC/CN/  
[FTK]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2930 W. SAM HOUSTON PKWY.  
N STE. 300

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/13/2009

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman and CEO

(Street)  
HOUSTON, TX 77043

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 03/13/2009                              |                                                             | D                                       | (A)<br>or<br>(D)<br>5,555<br>(1)<br>\$ 0                                   | 606,393                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 03/28/2009                              |                                                             | D                                       | (A)<br>or<br>(D)<br>2,639<br>(1)<br>\$ 0                                   | 603,754                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                            | 18,096                                                                                                             | I                                                                       | Saxton River<br>Corporation                                       |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                            | 26,000                                                                                                             | I                                                                       | DTB<br>Foundation                                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P           |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|----------------|
|                                            |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D)                                                           |                |
| Stock Option                               | \$ 9.4                                                 |                                      |                                                    |                                |                                                                                         | 09/21/2008                                               | 09/20/2014                                                    | Common 130,279 |
| Stock Option                               | \$ 2.125                                               |                                      |                                                    |                                |                                                                                         | 12/10/2005                                               | 12/09/2014                                                    | Common 66,940  |
| Stock Option                               | \$ 13.805                                              |                                      |                                                    |                                |                                                                                         | 03/13/2008                                               | 03/12/2013                                                    | Common 54,348  |
| Stock Option                               | \$ 22.37                                               |                                      |                                                    |                                |                                                                                         | 05/18/2008                                               | 05/17/2013                                                    | Common 66,600  |
| Stock Option                               | \$ 22.75                                               |                                      |                                                    |                                |                                                                                         | 03/28/2009                                               | 03/27/2014                                                    | Common 27,776  |
| Stock Option                               | \$ 2.51                                                |                                      |                                                    |                                |                                                                                         | 02/16/2010                                               | 02/15/2015                                                    | Common 200,000 |

## Reporting Owners

| Reporting Owner Name / Address                                                | Relationships |           |                     |       |
|-------------------------------------------------------------------------------|---------------|-----------|---------------------|-------|
|                                                                               | Director      | 10% Owner | Officer             | Other |
| DUMAS JERRY D SR<br>2930 W. SAM HOUSTON PKWY. N STE. 300<br>HOUSTON, TX 77043 | X             | X         | Chairman<br>and CEO |       |

## Signatures

/s/ Jerry D.  
Dumas, Sr. 04/22/2009

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) "The performance vesting requirements for the shares of restricted stock were not satisfied."

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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