## AUBURN NATIONAL BANCORPORATION INC

Form 4

March 20, 2003

SEC Form 4

## **OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE** FORM 4 COMMISSION Washington, D.C. 20549 [ ] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. . . . . 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) and Ticker or Trading Symbol Person' (Month/Day/Year to Issuer Smith Jr., Charles Edward (Check all applicable) 01/01/2002 **Auburn National** \_ Director \_ 10% Owner X Officer (give title below) **Bancorporation Inc** (Last) (First) (Middle) aubn \_ Other (specify below) 2007 Sanders Creek Drive 5. If Amendment, 3. I.R.S. Identification Date of Original Description **Senior Vice** Number of Reporting (Street) (Month/Day/Year) **President** Person, if an entity Opelika, AL 36801 (voluntary) 420-78-6181 7. Individual or Joint/Group (State) (City) Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired h(A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
			Code	V	Amount	A/D	Price	Reported or Indirect (I)  (Instr. 3 and 4)  (Instr. 4)	(Instr. 4)		
aubn common stock							\$	521	D		

						urities Acquired, Disposed s, warrants, options, conv	,	•	
1. Title of Derivative Security (Instr. 3)	sion or Exercise	3. Transaction Date (Month/ Day/	3A. Deemed Execution Date, if any	4. Transactio Code (Instr.8)	nof Derivative Securities	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following

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	Security	Year)	(Month/ Day/ Year)			or Disposed Of (D) (Instr. 3, 4 and 5)						Reported Transaction (Instr.4)
				Code	٧	Α	D	DE	ED	Title	Amount or Number of Shares	
aubn stock option right to buy	\$11.35							01/01/2002	12/31/2005	aubn	200	\$ 200

**Explanation of Responses:** 

Ву:	Date:
/s/ Charles Edward Smith, Jr.	<u>03/19/2003</u>
Officer	

Officer

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup> Signature of Reporting Person

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).