

CHASE PETER R
Form 4
April 30, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHASE PETER R

(Last) (First) (Middle)

295 UNIVERSITY AVE.

(Street)

WESTWOOD, MA 02090

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CHASE CORP [CCF]

3. Date of Earliest Transaction
(Month/Day/Year)

02/15/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Executive Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Chase Corporation Common Stock	02/15/2019		G	V 2,200	D \$ 0 350,127 ⁽⁷⁾	D	
Chase Corporation Common Stock	02/25/2019		G	V 308	D \$ 0 155,897 ⁽⁸⁾	D	
Chase Corporation Common Stock	02/26/2019		G	V 154	D \$ 0 154,973 ⁽⁹⁾	D	

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Chase Corporation Common Stock	02/27/2019	G	V	616	D	\$ 0	132,752 ⁽¹⁰⁾	D	
Chase Corporation Common Stock	04/17/2019	G	V	18,000	D	\$ 0	106,305 ⁽¹⁰⁾	D	
Chase Corporation Common Stock	04/25/2019	G	V	9,000	D	\$ 0	97,305	D	
Chase Corporation Common Stock							300,913 ⁽¹⁾	I	Peter R. Chase Insurance Trust
Chase Corporation Common Stock							241,195 ⁽²⁾	I	Peter R. Chase 2018 Qualified Annuity Trust
Chase Corporation Common Stock							80,612 ⁽³⁾	I	Peter R. Chase 2019 Qualified Annuity Trust #1
Chase Corporation Common Stock							193,922 ⁽⁴⁾	I	Peter R. Chase 2019 Qualified Annuity Trust #2
Chase Corporation Common Stock							30,052 ⁽⁵⁾	I	Peter R. Chase Trust
Chase Corporation Common Stock							1,470 ⁽⁶⁾	I	Chase 2015 Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHASE PETER R 295 UNIVERSITY AVE. WESTWOOD, MA 02090	X	X	Executive Chairman	

Signatures

Marlene Torio by power of attorney 04/30/2019

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by the Peter R. Chase Insurance Trust.
- (2) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust subsequent to exempt transfer from Mr. Chase. The Peter R. Chase 2018 Qualified Annuity Trust had previously been identified as "Peter R. Chase 2018 Annuity Trust - RJ" and "Peter R. Chase 2018 Qualified Annuity Trust #2 - ML".
- (3) Reflects shares held by the Peter R. Chase 2019 Qualified Annuity Trust #1 subsequent to exempt transfer from Mr. Chase.
- (4) Reflects shares held by the Peter R. Chase 2019 Qualified Annuity Trust #2 subsequent to exempt transfer from Mr. Chase.
- (5) Represents shares held by the Peter R. Chase Trust subsequent to exempt transfer from Mr. Chase.
- (6) Represents shares held by the Chase 2015 Irrevocable Trust subsequent to exempt transfer from Mr. Chase.
- (7) Reflects exempt transfers (i) from Mr. Chase to Peter R. Chase 2019 Qualified Annuity Trust #1, and (ii) to Mr. Chase from Peter R. Chase 2016 Qualified Annuity Trust #2 and Peter R. Chase 2018 Qualified Annuity Trust.
- (8) Reflects exempt transfers from Mr. Chase to Peter R. Chase 2019 Qualified Annuity Trust #2.
- (9) Reflects exempt transfer from Mr. Chase to Chase 2015 Irrevocable Trust.

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(10) Reflects exempt transfers from Mr. Chase to Peter R. Chase Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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