

Hansen Dave
Form 3
November 08, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Å Hansen Dave

(Last) (First) (Middle)

6312 SOUTH FIDDLER'S
GREEN CIRCLE

(Street)

GREENWOOD
VILLAGE, Å CO Å 80111

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/30/2018

3. Issuer Name and Ticker or Trading Symbol

RED ROBIN GOURMET BURGERS INC [RRGB]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

619 ⁽¹⁾

D Å

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (2)	02/24/2027	Common Stock	1,218	\$ 47	D	Â
Employee Stock Option (Right to Buy)	Â (3)	03/15/2028	Common Stock	1,144	\$ 61.25	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hansen Dave 6312 SOUTH FIDDLER'S GREEN CIRCLE GREENWOOD VILLAGE,Â COÂ 80111	Â	Â	Â Chief Accounting Officer	Â

Signatures

/s/ Michael L. Kaplan,
Attorney-in-Fact

11/08/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 561 restricted stock units subject to vesting and forfeiture restrictions.

On February 24, 2017, the reporting person was granted 1,218 stock options. One-fourth (1/4) of the options become exercisable on each of the first, second, third, and fourth anniversaries of the date of the grant. 304 stock options became exercisable on February 24, 2018, and the remaining become exercisable according to the schedule listed above.

(3) On March 15, 2018, the reporting person was granted 1,144 stock options. One-fourth (1/4) of the options become exercisable on each of the first, second, third, and fourth anniversaries of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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