Edgar Filing: MOORE COLIN - Form 4

MOODE COLIN

Form 4											
February 05,									OMB AF	PROVAL	
	UNITE	D STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o	ger STAT 16.								Expires: January 3 200 Estimated average burden hours per response 0.		
Form 5 obligatio may cont <i>See</i> Instru- 1(b).	ns Section 1 tinue. uction	7(a) of the	Public U		ding Cor	npan	y Act of	e Act of 1934, 1935 or Section 0			
(Print or Type I	Responses)										
MOORE COLIN Symbol AME			Symbol	ERIPRISE FINANCIAL INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 1098 AMEH CENTER	(First) RIPRISE FINA	(Middle)	3. Date o	f Earliest Tı Day/Year) 018	ransaction			Director X Officer (give below) EVP		Owner er (specify	
					endment, Date Original onth/Day/Year)			6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
MINNEAP	OLIS, MN 554	74						Form filed by M Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Tab	le I - Non-E	Derivative	Secur	rities Acqu	uired, Disposed of,	or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any	n Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	02/01/2018			F	751	D	\$ 171.08	60,006	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MOORE COLIN		EVP &				
1098 AMERIPRISE FINANCIAL CENTER			Global			
MINNEAPOLIS, MN 55474			CIO			

Signatures

/s/ Thomas R. Moore for Colin Moore 02/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.