

TCW STRATEGIC INCOME FUND INC

Form 4

December 08, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rivelle Tad

(Last) (First) (Middle)

TCW STRATEGIC INCOME  
FUND, INC., 865 S. FIGUEROA  
STREET, STE. 1800

(Street)

LOS ANGELES, CA 90017

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
TCW STRATEGIC INCOME  
FUND INC [TSI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/26/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
Portfolio Manager

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            |                 | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)   |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|-----------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price           |                                                                                               |                                                          |                                                         |
| Common Stock                    | 10/26/2015                           |                                                    | P                              |                                                                   | 0      | A          | \$ 0 <u>(1)</u> | 107,849                                                                                       | I                                                        | Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse) |
| Common stock                    | 11/03/2015                           |                                                    | P                              |                                                                   | 0      | A          | \$ 0 <u>(2)</u> | 855,404                                                                                       | D                                                        |                                                         |
| Common Stock                    | 12/07/2015                           |                                                    | P                              |                                                                   | 500    | A          | \$ 5.235        | 179,025.7079                                                                                  | I                                                        | Charles Schwab &                                        |

|                 |            |   |       |   |              |              |   |                                                                                                                               |
|-----------------|------------|---|-------|---|--------------|--------------|---|-------------------------------------------------------------------------------------------------------------------------------|
| Common<br>Stock | 12/07/2015 | P | 800   | A | \$<br>5.2375 | 179,825.7079 | I | Co Acct for<br>Chung-Ling<br>K Tuan<br>(spouse)<br><br>Charles<br>Schwab &<br>Co Acct for<br>Chung-Ling<br>K Tuan<br>(spouse) |
| Common<br>Stock | 12/07/2015 | P | 4,643 | A | \$ 5.24      | 184,468.7079 | I | Charles<br>Schwab &<br>Co Acct for<br>Chung-Ling<br>K Tuan<br>(spouse)                                                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Report<br>Transaction<br>(Instr. 5) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                                                                |

## Reporting Owners

| Reporting Owner Name / Address                                                      | Relationships                    |
|-------------------------------------------------------------------------------------|----------------------------------|
|                                                                                     | Director 10% Owner Officer Other |
| Rivelle Tad<br>TCW STRATEGIC INCOME FUND, INC.<br>865 S. FIGUEROA STREET, STE. 1800 | Portfolio Manager                |

LOS ANGELES, CA 90017

## Signatures

Patrick Dennis for Tad Rivelle by POA dtd  
7/22/13

12/08/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) No transaction occurred in Common Stock owned indirectly by Reporting Person

(2) No transaction occurred in Common Stock owned directly by Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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