Edgar Filing: BARLETT JAMES E - Form 4

BARLETT Form 4	JAMES E										
September	16, 2011										
FORM	ЛД								OMB AF	PROVAL	
	UNITED	STATES			AND EX 1, D.C. 20		NGE CC	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section				SECU	RITIES				Expires: Estimated a burden hour response		
obligati may con <i>See</i> Inst 1(b).	ons ntinue. ruction	(a) of the H	Public U	Itility Ho	lding Cor	npan	•	935 or Section			
(Print or Type	(Responses)										
BARLETT JAMES E Symbol								5. Relationship of Reporting Person(s) to Issuer			
							-	(Check all applicable)			
(Month/				onth/Dav/Year)				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)			
JIJ7 5.11						_			e Chairman		
ENCI EW	(Street) OOD, CO 80112			endment, E onth/Day/Ye	Date Origina ar)	ıl	A	. Individual or Joi applicable Line) X_ Form filed by Ou Form filed by Mo	ne Reporting Per	son	
							F	erson			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Code	onor Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6.7. Nature of IndirectOwnershipIndirectForm:BeneficialDirect (D)Ownership or Indirect(I)(Instr. 4)		
Common Stock	09/14/2011			M <u>(1)</u>	10,400	(D) A	\$ 7.84	346,685	D		
Common Stock	09/14/2011			S <u>(1)</u>	10,400	D	\$ 16.4901 (2)	336,285	D		
Common Stock	09/15/2011			M <u>(1)</u>	12,500	А	\$ 7.84	348,785	D		
Comon Stock	09/15/2011			S <u>(1)</u>	12,500	А	\$ 16.5655 (3)	336,285	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 7.84	09/14/2011		М	10,400	<u>(4)</u>	10/15/2011	Common Stock	10,400
Employee Stock Option (Right to Buy)	\$ 7.84	09/15/2011		М	12,500	(4)	10/15/2011	Common Stock	12,500

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BARLETT JAMES E 9197 S. PEORIA ST. ENGLEWOOD, CO 80112	Х		Vice Chairman				
Signatures							
	·	. C T	Г				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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(1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 13, 2010.

Represents the weighted average price of multiple transactions with a range of prices between \$16.49 and \$16.50. The Reporting Person,(2) upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, undertakes to provide further information regarding the number of securities purchased at each separate price.

- Represents the weighted average price of multiple transactions with a range of prices between \$16.51 and \$16.70. The Reporting Person,(3) upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, undertakes to provide further information regarding the number of securities purchased at each separate price.
- (4) The option vested in four equal annual installments on October 15, 2002, 2003, 2004 and 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.