Hongli Clean Energy Technologies Corp.

Form 4 May 11, 2016

FORM 4

**OMB APPROVAL** 

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Check this box if no longer subject to Section 16.

3235-0287 Number: January 31, Expires:

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Lv Jianhua

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Hongli Clean Energy Technologies

Corp. [CETC] 3. Date of Earliest Transaction (Check all applicable)

(Middle)

(Month/Day/Year)

X\_ Officer (give title below)

\_X\_\_ Director

\_X\_\_ 10% Owner \_\_ Other (specify

05/20/2011

President, CEO and Chairman

**KUANGGONG ROAD AND TIYU** ROAD 10TH FLOOR, CHENGSHI XINYONGSHE, TIYU RD, XINHUA DIST

(First)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PINGDINGSHAN, HENAN **PROVINCE, F4 467000** 

> (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	oosed (and 5)  (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/20/2011		Code V P	Amount 604,738	(D)	Price \$ 6	604,738	D	
Common Stock	05/20/2011		P	649,339	A	\$ 6	1,254,077	D	
Common Stock							6,694,091	I	By Honour Express Limited (1)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Relationships

SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	le and	8. Price of	•
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ate	Amou	ınt of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Ì
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						1
					(A) or						1
					Disposed						
					of (D)						
	(Instr. 3,										
					4, and 5)						
									Amount		
									Amount		
						Date Expiration Exercisable Date	Expiration	Title N	or Namelana		
							Date		Number		
				C-1- V	(A) (D)				of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Lv Jianhua KUANGGONG ROAD AND TIYU ROAD 10TH FLOOR CHENGSHI XINYONGSHE,TIYU RD, XINHUA DIST	X	X	President, CEO and Chairman	

### **Signatures**

/s/ Jianhua Lv 05/11/2016

\*\*Signature of Person

Date

# **Explanation of Responses:**

PINGDINGSHAN, HENAN PROVINCE, F4 467000

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are held directly by Honour Express Limited ("Honour Express"). Honour Express Limited, a British Virgin Islands international business company ("Honour Express"). On October 7, 2014, Mr. Lv exercised his option pursuant to a certain Incentive

(1) Option Agreement to acquire 100% of the outstanding shares of Honour Express, which directly owns 6,694,091 shares of the Issuer's common stock, and became the sole shareholder of Honour Express. In such capacity, he is deemed to have voting and dispositive power over the shares held directly by Honour Express.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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tential persons who are to respond to the collection of information contained in this form are not required to respond unless the form display currently valid OMB number.	/S