

AMPCO PITTSBURGH CORP

Form 3

April 01, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
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burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Altor Holdings Ltd

(Last) (First) (Middle)

11-15 SEATON PLACE

(Street)

ST. HELIER, Y9 JE4 0QH

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/03/2016

3. Issuer Name and Ticker or Trading Symbol
AMPCO PITTSBURGH CORP [AP]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☐ Form filed by One Reporting
Person
☒ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,017,441

I

See footnote ⁽¹⁾

Common Stock

743,868

I

See footnote ⁽²⁾

Common Stock

2,694

I

See footnote ⁽³⁾

Common Stock

12,601

I

See footnote ⁽⁴⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date3. Title and Amount of
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect
Beneficial Ownership

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(Month/Day/Year)		Derivative Security (Instr. 4)	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Altor Holdings Ltd 11-15 SEATON PLACE ST. HELIER, Î Y9 Î JE4 0QH	Î	Î X	Î	Î
Altor Fund II GP Ltd 11-15 SEATON PLACE ST HELIER, Î Y9 Î JE4 0QH	Î	Î X	Î	Î

Signatures

/s/ Stefan Linder, as director of Altor Holdings Limited	04/01/2016
__Signature of Reporting Person	Date
/s/ Emily Sturgess, as attorney-in-fact for Altor Fund II GP Limited	04/01/2016
__Signature of Reporting Person	Date
/s/ Matthew Hague, as attorney-in-fact for Altor Fund II GP Limited	04/01/2016
__Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of Common Stock are directly beneficially owned by Altor Fund II (No. 1) Limited Partnership ("Altor Fund 1"). Altor Holdings Limited ("Altor Holdings") is the sole member of Altor Fund II GP Limited ("Altor GP"), which is the general partner of Altor Fund 1, such that each of Altor Holdings and Altor GP may be deemed a beneficial owner of the shares of Common Stock directly beneficially owned by Altor Fund 1. Each of Altor Holdings and Altor GP disclaims beneficial ownership of the shares of Common Stock directly beneficially owned by Altor Fund 1, except to the extent of its respective pecuniary interest therein.

The shares of Common Stock are directly beneficially owned by Altor Fund II (No. 2) Limited Partnership ("Altor Fund 2"). Altor Holdings is the sole member of Altor GP, which is the general partner of Altor Fund 2, such that each of Altor Holdings and Altor GP may be deemed a beneficial owner of the shares of Common Stock directly beneficially owned by Altor Fund 2. Each of Altor Holdings and Altor GP disclaims beneficial ownership of the shares of Common Stock directly beneficially owned by Altor Fund 2, except to the extent of its respective pecuniary interest therein.

The shares of Common Stock are directly beneficially owned by Altor Fund II (No. 3) Limited Partnership ("Altor Fund 3"). Altor Holdings is the sole member of Altor GP, which is the general partner of Altor Fund 3, such that each of Altor Holdings and Altor GP may be deemed a beneficial owner of the shares of Common Stock directly beneficially owned by Altor Fund 3. Each of Altor Holdings and Altor GP disclaims beneficial ownership of the shares of Common Stock directly beneficially owned by Altor Fund 3, except to the extent of its respective pecuniary interest therein.

(4) The shares of Common Stock are directly beneficially owned by Altor Fund II (No. 4) Limited ("Altor Fund 4"). Altor Holdings is the sole member of Altor GP, which is the investment manager to Altor Fund 4, such that each of Altor Holdings and Altor GP may be

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deemed a beneficial owner of the shares of Common Stock directly beneficially owned by Altor Fund 4. Each of Altor Holdings and Altor GP disclaims beneficial ownership of the shares of Common Stock directly beneficially owned by Altor Fund 4, except to the extent of its respective pecuniary interest therein.

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Remarks:

ExhibitÂ 24.1-PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.