## Edgar Filing: BASSWOOD OPPORTUNITY FUND INC - Form 4

## BASSWOOD OPPORTUNITY FUND INC

Form 4

January 02, 2018

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BASSWOOD CAPITAL** MANAGEMENT, L.L.C.

> (Last) (First)

(Middle)

Symbol

BRIDGE BANCORP INC [BDGE]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

12/22/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

645 MADISON AVENUE, 10TH FLOOR,

(Street)

Officer (give title below)

Director

\_X\_\_ 10% Owner \_ Other (specify

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10022

(City)	(State) (Z	e I - Non-D	on-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	or(A) or Di (Instr. 3,	cities Acquired Disposed of (D) , 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value \$0.01 per share ("Common Stock")	12/22/2017		Code V	Amount 10,189	( )	Price \$ 35.65	119,267	I	See Footnotes		
Common Stock	12/22/2017		P	308	A	\$ 35.65	119,575	I	See Footnotes		
Common Stock	12/22/2017		S	4,700	D	\$ 35.65	25,638	I	See Footnotes (1) (3)		

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Common Stock	12/22/2017	P	78	A	\$ 33.65	25,716	I	See Footnotes (1) (3)
Common Stock	12/22/2017	S	8,841	D	\$ 35.65	71,905	I	See Footnotes (1) (4)
Common Stock	12/22/2017	S	24,391	D	\$ 35.65	308,493	D (1) (5)	
Common Stock	12/22/2017	S	234	D	\$ 35.65	320,785	I	See Footnotes (1) (6)
Common Stock	12/22/2017	P	3,476	A	\$ 35.65	26,341	I	See Footnotes (1) (7)
Common Stock						742,184	I	See footnotes
Common Stock						161,015	D (9)	
Common Stock						138,282	D (10)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. mNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	Amor Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting 6 mail 1 mine, 11 mines	Director	10% Owner	Officer	Other		
BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
LINDENBAUM MATTHEW A C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
LINDENBAUM BENNETT D C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
BASSWOOD FINANCIAL FUND, L.P. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
BASSWOOD FINANCIAL LONG ONLY FUND, L.P. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
BASSWOOD FINANCIAL FUND, INC. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
BASSWOOD OPPORTUNITY FUND INC C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
BASSWOOD OPPORTUNITY PARTNERS, L.P. C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
BASSWOOD PARTNERS, L.L.C. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022		X				
Signatures						
BASSWOOD CAPITAL MANAGEMENT, L.L.C., By: /s	s/ Matthe	W		01/02/201		

BASSWOOD CAPITAL MANAGEMENT, L.L.C., By: /s/ Matthew 01/02/2018 Lindenbaum

\*\*Signature of Reporting Person

Date

Reporting Owners 3

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Notes are included on Exhibit 99.1 hereto.
- (2) Notes are included on Exhibit 99.1 hereto.
- (3) Notes are included on Exhibit 99.1 hereto.
- (4) Notes are included on Exhibit 99.1 hereto.
- (5) Notes are included on Exhibit 99.1 hereto.
- (6) Notes are included on Exhibit 99.1 hereto.
- (7) Notes are included on Exhibit 99.1 hereto.
- (8) Notes are included on Exhibit 99.1 hereto.
- (9) Notes are included on Exhibit 99.1 hereto.
- (10) Notes are included on Exhibit 99.1 hereto.

### **Remarks:**

**Exhibit List:** 

Exhibit 99.1 - Explanation of Responses

Exhibit 99.2 - Joint Filer Information

Exhibit 99.3 - Joint Filers' Signatures

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.