### Edgar Filing: MARIN SOFTWARE INC - Form 3

#### MARIN SOFTWARE INC

Form 3 May 18, 2015

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Nandal Parveen

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement (Month/Day/Year) 05/16/2015

3. Issuer Name and Ticker or Trading Symbol MARIN SOFTWARE INC [MRIN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O MARIN SOFTWARE INCORPORATED, 123 MISSION STREET, 25TH **FLOOR** 

(Street)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below)

VP Fin, InterimPrinFinanOff

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### SAN FRANCISCO. CAÂ 94105

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	07/07/2023	Common Stock	20,000	\$ 11.57	D	Â
Employee Stock Option (right to buy)	(1)	05/11/2024	Common Stock	5,500	\$ 9.74	D	Â
Employee Stock Option (right to buy)	(2)	09/07/2024	Common Stock	20,000	\$ 8.61	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	7,000	\$ 0 (4)	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	6,000	\$ 0 (4)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
7	Director	10% Owner	Officer	Other		
Nandal Parveen C/O MARIN SOFTWARE INCORPORATED 123 MISSION STREET, 25TH FLOOR SAN FRANCISCO, CA 94105	Â	Â	VP Fin,InterimPrinFinanOff	Â		

## **Signatures**

/s/ Parveen Nandal by Stephen Kim, Attorney-in-Fact

05/18/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 25% of the shares subject to the grant vest and become exercisable on the one-year anniversary of the grant date, then 2.0833% of the (1) shares subject to the grant vest and become exercisable each month thereafter, until such time as the option is 100% vested, subject to the continuing employment of the Reporting Person on each vesting date.
- 2.0833% of the shares subject to the grant vested and became exercisable on August 22, 2014 and thereafter vest as to 2.0833% of the(2) total number of shares in equal monthly installments until such time as the option is 100% vested, subject to the continuing employment of the Reporting Person on each vesting date.
- The restricted stock units ("RSUs") vest every six (6) months as to 12.5% of the total RSUs in equal installments, with the first 12.5% (3) vesting on June 8, 2015, until such time as the RSUs are 100% vested, subject to the continuing employment of the Reporting Person on each vesting date. Shares of the Issuer's common stock will be delivered to the Reporting Person following vesting.
- (4) Each RSU represents a contingent right to receive one share of the Issuer's common stock.
- 25% of the RSUs vest annually on the anniversary of the grant date, until such time as the RSUs are 100% vested, subject to the continuing employment of the Reporting Person on each vesting date. Shares of the Issuer's common stock will be delivered to the Reporting Person upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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