#### ABAXIS INC Form 3 April 30, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person TOCKMAN CRAIG			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol ABAXIS INC [ABAX]				
(Last)	(First)	(Middle)	04/23/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
C/O ABAXIS, INC, 3240 WHIPPLE ROAD				(Check all applicable)			,	
UNION CIT	(Street) Y, CAÂ	. 94587		DirectorOwner OfficerOther (give title below) (specify below) VP-Sales & Mktg, Animal Health			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Ber	neficially Owned	
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	1	
Common Sto	ock		566		D	Â		
Reminder: Repo owned directly	-		ach class of securities benefic	<sup>ially</sup> S	EC 1473 (7-02	)		
	inforr requi	mation cont	pond to the collection of ained in this form are not ond unless the form displ MB control number.	t				

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable	Title	Derivative	Security:	
			Security	Direct (D)	

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

### Edgar Filing: ABAXIS INC - Form 3

	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units $(1)$ 07/29/2014 $(2)$	( <u>3)</u>	Common Stock	500	\$ 0	D	Â
Restricted Stock Units $(1)$ 07/30/2014 $(2)$	( <u>3)</u>	Common Stock	475	\$ 0	D	Â
Restricted Stock Units $(1)$ 08/01/2014 $(2)$	( <u>3)</u>	Common Stock	425	\$ 0	D	Â
Restricted Stock Units $(1)$ 08/02/2014 $(2)$	( <u>3)</u>	Common Stock	350	\$ 0	D	Â
Restricted Stock Units (1) 10/24/2014(2)	( <u>3)</u>	Common Stock	5,000	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
TOCKMAN CRAIG C/O ABAXIS, INC 3240 WHIPPLE ROAD UNION CITY, CA 94587	Â	Â	VP-Sales & Mktg, Animal Health	Â		
Signatures						

/s/ Craig Tockman	04/30/2014
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Abaxis common stock.
- (2) Granted under the Abaxis 2005 Equity Incentive Plan. Restricted Stock Units vest as to 5% after the first year; then vest as to 10% after the second year; then vest as to 15% after the third year; and the remaining 70% after the fourth year.
- (3) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.