#### **ROCKWELL COLLINS INC**

Form 4 October 04, 2005

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

2005

OMB

Number:

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CARBONE ANTHONY J Issuer Symbol ROCKWELL COLLINS INC [COL] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction X\_ Director (Month/Day/Year) 10% Owner Other (specify Officer (give title 3211 VALLEY DRIVE 10/03/2005 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MIDLAND, MI 48640 Person (City) (State) (Zin)

| (City)   | (State) | (Zip)    | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially |         |       |              |  |  |  |
|----------|---------|----------|--|---------|-------|--------------|--|--|--|
| 1 TC-1 C | 0.77    | D . 24 D | 1 2  | 4 6 '4' | 5 A C | ( O 1: 7 N ) |  |  |  |

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities tionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--------------------------------------|--------------------------------------|---|---|---|-------|--|--|---|
|                                      |                                      |   | Code V                                  | Amount (D)  | Price | (Instr. 3 and 4)   |  |   |
| Common<br>Stock                      | 10/03/2005                           |   | A(1)                                    | 1,108 A   | \$ 48 | 11,730 (1)   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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|   | . Title of                          | 2.  | 3. Transaction Date (Month/Day/Year) |   | 4.                              | 5.   | 6. Date Exerc       |                    | 7. Title and   | 8. Price of                          | 9. Nu<br>Deriv  |
|---|-------------------------------------|---|--------------------------------------|---|---------------------------------|--|---------------------|--------------------|--|--------------------------------------|---|
| 5 | Derivative<br>Security<br>Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)                     | Execution Date, if any (Month/Day/Year) | Transacti<br>Code<br>(Instr. 8) | orNumber<br>of<br>Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | s<br>I              |                    | Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4 | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|   |                                     |   |                                      |   | Code V                          |  | Date<br>Exercisable | Expiration<br>Date | Title Amour<br>or<br>Number<br>of                        | er                                   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| reporting o where remainer remained                         | Director      | 10% Owner | Officer | Other |  |  |
| CARBONE ANTHONY J<br>3211 VALLEY DRIVE<br>MIDLAND, MI 48640 | X             |           |         |       |  |  |

### **Signatures**

/s/ Gary R. Chadick, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares delivered as compensation for services as Director. Held by issuer to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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