**CULVER JOHN** 

Form 4 February 08, 2019

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

(Last)

SUITE 800

(City)

Common

Stock

1. Name and Address of Reporting Person \* **CULVER JOHN** 

(First)

(Street)

(State)

02/07/2019

2401 UTAH AVENUE SOUTH,

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

STARBUCKS CORP [SBUX]

3. Date of Earliest Transaction

(Month/Day/Year) 02/07/2019

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below) group pres, Int'l & Channel

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

SEATTLE, WA 98134

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transactionr Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Common 02/07/2019 M 32,388 A 398,820 (1) D Stock 40.495 Common 02/07/2019 136,708 D M 535,528 Stock

169,096 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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366,432

D

\$68.81

(9-02)

### Edgar Filing: CULVER JOHN - Form 4

### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Nun Shar
Non-qualified Stock Option (Right to Buy)	\$ 40.495	02/07/2019		M	32,388	(3)	11/11/2023	Common Stock	32
Non-qualified Stock Option (Right to Buy)	\$ 38.915	02/07/2019		M	136,708	<u>(4)</u>	11/17/2024	Common Stock	136

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CULVER JOHN 2401 UTAH AVENUE SOUTH, SUITE 800 SEATTLE, WA 98134

group pres, Int'l & Channel

# **Signatures**

/s/ Joshua M. Westerman, attorney-in-fact for John Culver

02/08/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 30 shares purchased on December 31, 2018, pursuant to the Starbucks Corporation Employee Stock Purchase Plan.
- This transaction was executed in multiple trades at prices ranging from \$68.505 to \$69.19. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The option, representing the right to buy a total of 129,554 shares, became exercisable in one increment of 32,390 shares on November 11, 2014, and three increments of 32,388 shares each on November 11, 2015, November 11, 2016 and November 11, 2017.
- (4) The option, representing the right to buy a total of 136,708 shares, became exercisable in two increments of 34,178 shares each on November 17, 2015 and November 17, 2016, and two increments of 34,176 shares each on November 17, 2017 and November 17, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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