

Schroepfer Michael Todd  
 Form 4  
 August 17, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Schroepfer Michael Todd

(Last) (First) (Middle)

C/O FACEBOOK, INC., 1601  
 WILLOW ROAD

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Facebook Inc [FB]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 08/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 \_\_\_X\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Technology Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_X\_\_\_ Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Class A Common Stock	08/15/2017		M		10,023 A \$ 0	885,562	D
Class A Common Stock	08/15/2017		F		5,231 (1) D \$ 170.75	880,331	D
Class A Common Stock	08/15/2017		M		8,254 A \$ 0	888,585	D
Class A Common	08/15/2017		F		4,307 (1) D \$ 170.75	884,278	D

Edgar Filing: Schroepfer Michael Todd - Form 4

Stock							
Class A Common Stock	08/15/2017	C	86,584 (2)	A	\$ 0	970,862	D
Class A Common Stock	08/15/2017	F	45,180 (1)	D	\$ 170.75	925,682	D
Class A Common Stock	08/15/2017	C	34,122 (2)	A	\$ 0	959,804	D
Class A Common Stock	08/15/2017	F	17,805 (1)	D	\$ 170.75	941,999	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Restricted Stock Units (RSU) (Class A)	(3)	08/15/2017		M		(4)	03/16/2024	Class A Common Stock	10,023
Restricted Stock Units (RSU) (Class A)	(3)	08/15/2017		M		(5)	03/15/2025	Class A Common Stock	8,254
Restricted Stock Unit (RSU) (Class B)	(6)	08/15/2017		M		(7)	08/25/2020	Class B Common Stock (8)	86,584

Edgar Filing: Schroepfer Michael Todd - Form 4

Class B Common Stock <sup>(8)</sup>	<sup>(8)</sup>	08/15/2017	M	86,584	<sup>(8)</sup>	<sup>(8)</sup>	Class A Common Stock	86,584
Class B Common Stock <sup>(8)</sup>	<sup>(8)</sup>	08/15/2017	C	86,584 <sup>(9)</sup>	<sup>(8)</sup>	<sup>(8)</sup>	Class A Common Stock	86,584
Restricted Stock Unit (RSU) (Class B)	<sup>(6)</sup>	08/15/2017	M	34,122	<sup>(10)</sup>	05/02/2022	Class B Common Stock <sup>(8)</sup>	34,122
Class B Common Stock <sup>(8)</sup>	<sup>(8)</sup>	08/15/2017	M	34,122	<sup>(8)</sup>	<sup>(8)</sup>	Class A Common Stock	34,122
Class B Common Stock <sup>(8)</sup>	<sup>(8)</sup>	08/15/2017	C	34,122 <sup>(9)</sup>	<sup>(8)</sup>	<sup>(8)</sup>	Class A Common Stock	34,122

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schroepfer Michael Todd C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025			Chief Technology Officer	

## Signatures

/s/ Michael Johnson as attorney-in-fact for Michael T. Schroepfer

08/17/2017

<sup>(8)</sup>Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (2) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the Restricted Stock Units ("RSUs") listed in Table II.
- (3) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (4) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2016, subject to continued service through each vesting date.
- (5) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2017, subject to continued service through each vesting date.
- (6) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.
- (7)

## Edgar Filing: Schroepfer Michael Todd - Form 4

The RSUs were granted with both (a) a liquidity event-based vesting condition and (b) a service-based vesting condition, both of which conditions must be satisfied in order for the RSUs to vest. The liquidity event-based vesting condition was satisfied on November 17, 2012. The service-based vesting condition was satisfied as to 1/16th of the total number of shares on August 15, 2014, after which 1/16th of the total number of shares vest quarterly, subject to continued service through each vesting date.

- (8) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (9) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.
- (10) The RSUs vest as to 1/16th of the total shares quarterly, beginning on November 15, 2014, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.