

BALL Corp  
Form 4  
July 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HAYES JOHN A**

(Last) (First) (Middle)

**BALL CORPORATION, 10 LONGS  
PEAK DR.**

(Street)

**BROOMFIELD, CO 80021-2510**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**BALL Corp [BLL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**07/03/2017**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
**CHAIRMAN, PRESIDENT & C.E.O..**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 07/03/2017                              |                                                             | M <sup>(1)</sup>                     | 15,090                                                                  | A \$<br>12.5275                                                                                                    | 422,652.2747                                                            | D                                                                 |
| Common<br>Stock                       | 07/03/2017                              |                                                             | F <sup>(2)</sup>                     | 9,407                                                                   | D \$ 42.47                                                                                                         | 413,245.2747                                                            | D                                                                 |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    | 9,082.859                                                               | I 401(k) Plan<br>(3)                                              |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    | 161,344                                                                 | I JAH<br>Irrevocable<br>Trust (4)                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) | Amount<br>or<br>Number<br>of Shares |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------|
| Stock<br>Appreciation<br>Rights (sars)              | \$ 12.5275                                                         | 07/03/2017                              |                                                             | M <sup>(5)</sup>                     | 15,090                                                                                                       | 04/23/2009 04/23/2018                                          | Common<br>Stock                                                | 15,0                                |

## Reporting Owners

| Reporting Owner Name / Address                                                     | Relationships |           |                                     |       |
|------------------------------------------------------------------------------------|---------------|-----------|-------------------------------------|-------|
|                                                                                    | Director      | 10% Owner | Officer                             | Other |
| HAYES JOHN A<br>BALL CORPORATION<br>10 LONGS PEAK DR.<br>BROOMFIELD, CO 80021-2510 | X             |           | CHAIRMAN,<br>PRESIDENT &<br>C.E.O.. |       |

## Signatures

/s/ Robert W. McClelland, attorney-in-fact for Mr.  
Hayes

07/05/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARS)

(2) Shares utilized to pay for the cost of the SARs and the tax obligation resulting from the exercise of the SARs.

(3) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.

(4) The reporting person expressly disclaims beneficial ownership of these securities.

(5) Exercise of Stock Appreciation Rights (SARS) pursuant to reporting person's February 10, 2017, 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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