

BALL Corp  
Form 4  
March 13, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HOOVER R DAVID**

(Last) (First) (Middle)

**BALL CORPORATION, 10 LONGS  
PEAK DR.**

(Street)

**BROOMFIELD, CO 80021-2510**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**BALL Corp [BLL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**03/10/2017**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 03/10/2017                              |                                                             | M <sup>(1)</sup>                     | 50,000 A \$ 24.66                                                       | 359,896                                                                                                            | I                                                                       | RDH<br>Trust                                                      |
| Common<br>Stock                       | 03/10/2017                              |                                                             | F <sup>(2)</sup>                     | 32,140 D \$ 73.74                                                       | 327,756                                                                                                            | I                                                                       | RDH<br>Trust                                                      |
| Common<br>Stock                       | 03/13/2017                              |                                                             | M <sup>(3)</sup>                     | 5,000 A \$ 24.66                                                        | 112,462.4894                                                                                                       | D                                                                       |                                                                   |
| Common<br>Stock                       | 03/13/2017                              |                                                             | S                                    | 5,000 D \$<br>74.0663                                                   | 107,462.4894                                                                                                       | D                                                                       |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 57,600                                                                                                             | I                                                                       | By<br>GRAT                                                        |

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Common Stock 32,605 I SAH Trust <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|----------------------------|
| Stock Appreciation Rights (sars)           | \$ 24.66                                               | 03/10/2017                           |                                                    | M <sup>(5)</sup>               | 50,000                                                                                  | 04/25/2008 04/25/2017                                    | Common Stock                                                | 50,000                     |
| Stock Option (iso) (Right to Buy)          | \$ 24.66                                               | 03/13/2017                           |                                                    | M <sup>(6)</sup>               | 5,000                                                                                   | <sup>(7)</sup> 04/25/2017                                | Common Stock                                                | 5,000                      |

## Reporting Owners

| Reporting Owner Name / Address                                                       | Relationships                    |
|--------------------------------------------------------------------------------------|----------------------------------|
|                                                                                      | Director 10% Owner Officer Other |
| HOOVER R DAVID<br>BALL CORPORATION<br>10 LONGS PEAK DR.<br>BROOMFIELD, CO 80021-2510 | X                                |

## Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Mr. Hoover 03/13/2017

                    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARs).
- (2) Shares withheld for the cost of the Stock Appreciation Rights (SARs) and for payment of the tax obligation on the exercise of the Table II SARs.
- (3) Common stock acquired from the exercise of Incentive Stock Options (ISOs).
- (4) The reporting person expressly disclaims beneficial ownership of the securities in the Suzanne A. Hoover Trust.
- (5) Exercise of Stock Appreciation Rights (SARs).
- (6) Exercise of Incentive Stock Options (ISOs).
- (7) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the Incentive Stock Option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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