

BALL CORP

Form 4

March 16, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HOOVER R DAVID

(Last) (First) (Middle)

**BALL CORPORATION, 10 LONGS
PEAK DR.**

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BALL CORP [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)

03/14/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	03/14/2016		M ⁽¹⁾		140,000	A	\$ 21.845	257,633.1602	D
Common Stock	03/14/2016		F ⁽²⁾		88,800	D	\$ 68.77	168,833.1602	D
Common Stock	03/15/2016		M ⁽¹⁾		92,000	A	\$ 21.845	260,833.1602	D
Common Stock	03/15/2016		F ⁽²⁾		58,509	D	\$ 68.58	202,324.1602	D
Common Stock	03/15/2016		M ⁽³⁾		8,000	A	\$ 21.845	210,324.1602	D

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Common Stock	03/15/2016	S	1,500	D	\$ 68.62	208,824.1602	D	
Common Stock	03/15/2016	S	100	D	\$ 68.59	208,724.1602	D	
Common Stock	03/15/2016	S	200	D	\$ 68.57	208,524.1602	D	
Common Stock	03/15/2016	S	860	D	\$ 68.51	207,664.1602	D	
Common Stock	03/15/2016	S	1,600	D	\$ 68.5	206,064.1602	D	
Common Stock	03/15/2016	S	240	D	\$ 68.39	205,824.1602	D	
Common Stock	03/15/2016	S	1,000	D	\$ 68.335	204,824.1602	D	
Common Stock	03/15/2016	S	700	D	\$ 68.3325	204,124.1602	D	
Common Stock	03/15/2016	S	1,800	D	\$ 68.33	202,324.1602	D	
Common Stock						0	I	401(k) Plan ⁽⁴⁾
Common Stock						100,000	I	By GRAT
Common Stock						243,381	I	RDH Trust
Common Stock						32,605	I	SAH Trust ⁽⁵⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nun Sha

Stock Appreciation Rights (sars)	\$ 21.845	03/14/2016	M ⁽⁶⁾	140,000	04/26/2007	04/26/2016	Common Stock	14
Stock Appreciation Rights (sars)	\$ 21.845	03/15/2016	M ⁽⁶⁾	92,000	04/26/2007	04/26/2016	Common Stock	92
Stock Option (iso) (Right to Buy)	\$ 21.845	03/15/2016	M ⁽⁷⁾	8,000	04/26/2007	04/26/2016	Common Stock	8

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOOVER R DAVID BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510		X		

Signatures

/s/ Charles E. Baker, attorney-in-fact for Mr.
Hoover 03/16/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARs).
- (2) Shares withheld for the cost of the Stock Appreciation Rights (SARs) and for payment of the tax obligation on the exercise of the Table II SARs.
- (3) Common stock acquired from the exercise of Incentive Stock Options (ISOs).
- (4) Total number of 401(k) Plan shares include shares previously acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (5) The reporting person expressly disclaims beneficial ownership of the securities in the Suzanne A. Hoover Trust.
- (6) Exercise of Stock Appreciation Rights (SARs).
- (7) Exercise of Incentive Stock Options (ISOs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.