

STARBUCKS CORP

Form 4

November 12, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHULTZ HOWARD D

(Last) (First) (Middle)

**2401 UTAH AVENUE SOUTH,
SUITE 800**

(Street)

SEATTLE, WA 98134

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
STARBUCKS CORP [SBUX]

3. Date of Earliest Transaction
(Month/Day/Year)
11/11/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

chairman, president and ceo

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/04/2014		G	V 1,000,000	D \$ 0 16,689,468 (1)	D	
Common Stock	09/04/2014		G	V 1,000,000	A \$ 0 1,000,000	I	by spouse
Common Stock	11/11/2014		A	174,909 (2)	A \$ 0 16,864,377	D	
Common Stock	11/11/2014		A	272,541 (3)	A \$ 0 17,136,918	D	
Common Stock					1,000,000	I	by GRAT

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Restricted Stock Units ("RSUs") earned on the achievement of performance goals under performance-based RSUs granted on November 19, 2012. The RSUs are scheduled to vest, subject to continued employment, as follows: 87,455 shares will vest on November 19, 2014 and 87,454 shares will vest on November 19, 2015.

- (3) RSUs earned on the achievement of a performance goal under performance-based RSUs granted on November 15, 2011. The RSUs are scheduled to vest as follows: 272,541 shares will vest on November 15, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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