

SYNEX CORP
Form 4
August 08, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAROCQUE PETER

(Last) (First) (Middle)
44201 NOBEL DRIVE
(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)
08/06/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President, N.A. Distribution

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	08/06/2014		M		384 A \$ 26.98		D
Common Stock	08/06/2014		S		384 (1) D \$ 65		D
Common Stock	08/06/2014		M		654 A \$ 27.87		D
Common Stock	08/06/2014		S		654 (1) D \$ 65		D
Common Stock	08/06/2014		M		2,744 A \$ 30.96		D

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Common Stock	08/06/2014	S	<u>2,744</u> (1)	D	\$ 65	30,523	D
Common Stock	08/06/2014	M	1,218	A	\$ 32.4	31,741	D
Common Stock	08/06/2014	S	<u>1,218</u> (1)	D	\$ 65	30,523	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 26.98	08/06/2014		M	384	<u>(2)</u> 10/05/2021	Common Stock	384	
Stock Option (Right to Buy)	\$ 27.87	08/06/2014		M	654	<u>(3)</u> 10/07/2020	Common Stock	654	
Employee Stock Option (Right to Buy)	\$ 30.96	08/06/2014		M	2,744	<u>(4)</u> 09/29/2019	Common Stock	2,744	
Stock Option (Right to Buy)	\$ 32.4	08/06/2014		M	1,218	<u>(5)</u> 10/03/2022	Common Stock	1,218	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAROCQUE PETER 44201 NOBEL DRIVE FREMONT, CA 94538			President, N.A. Distribution	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

08/08/2014

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 29, 2014.
- (2) This stock option is immediately exercisable as to 1,535 shares and vests as to approximately 384 shares monthly.
- (3) This stock option is immediately exercisable as to 1,235 shares and vests as to approximately 327 shares monthly.
- (4) This stock option is immediately exercisable as to 2,813 shares and vests as to approximately 292 shares monthly.
- (5) This stock option is immediately exercisable as to 7,779 shares and vests as to approximately 321 shares monthly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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