

COOPER COMPANIES INC
Form 4
April 02, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KALKSTEIN MICHAEL

(Last) (First) (Middle)

6140 STONERIDGE MALL
DRIVE, SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction
(Month/Day/Year)
03/14/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | | | |
| Common Stock | 03/14/2014 | | G | V | 1,044 | A | \$ 0 1,044 | I | The Kalkstein and English Family Trust u/a/d May 18, 2005 |
| Common Stock | 03/14/2014 | | G | V | 1,044 | D | \$ 0 13,500 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) | |
|---|--|--------------------------------------|--|--------------------------------|---|--|--|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Stock Options (Right to Buy) | \$ 69.01 | 03/14/2014 | | G | V 17,500 | <u>(1)</u> 10/31/2015 | Common Stock | |
| Stock Options (Right to Buy) | \$ 69.01 | 03/14/2014 | | G | V 17,500 | <u>(1)</u> 10/31/2015 | Common Stock | |
| Non-employee Director Stock Option (Right to Buy) | \$ 49.57 | 03/14/2014 | | G | V 6,500 | <u>(1)</u> 10/31/2020 | Common Stock | |
| Stock Options (Right to Buy) | \$ 49.57 | 03/14/2014 | | G | V 6,500 | <u>(1)</u> 10/31/2020 | Common Stock | |
| Stock Options (Right to Buy) | \$ 57.87 | 03/14/2014 | | G | V 17,500 | <u>(1)</u> 10/31/2016 | Common Stock | |
| Stock Options (Right to Buy) | \$ 57.87 | 03/14/2014 | | G | V 17,500 | <u>(1)</u> 10/31/2016 | Common Stock | |

| | | | | | | | | |
|--|-----------|------------|---|---|--------|------------|------------|-----------------|
| Non-employee Director Stock Option (Right to Buy) | \$ 98.45 | 03/14/2014 | G | V | 4,500 | <u>(1)</u> | 10/31/2022 | Common Stock |
| Non-employee Director Stock Option (Right to Buy) | \$ 98.45 | 03/14/2014 | G | V | 4,500 | <u>(1)</u> | 10/31/2022 | Common Stock |
| Stock Options (Right to Buy) | \$ 42.49 | 03/14/2014 | G | V | 10,000 | <u>(1)</u> | 10/31/2017 | Common Stock |
| Stock Options (Right to Buy) | \$ 42.49 | 03/14/2014 | G | V | 10,000 | <u>(1)</u> | 10/31/2017 | Common Stock |
| Non-employee Director Stock Option (Right to Buy) | \$ 128.35 | 03/14/2014 | G | V | 3,227 | 11/01/2014 | 10/31/2023 | Common Stock |
| Non-employee Director Stock Option (Right to Buy) | \$ 128.35 | 03/14/2014 | G | V | 3,227 | 11/01/2014 | 10/31/2023 | Common Stock |
| Non-employee Director Stock | \$ 66.8 | 03/14/2014 | G | V | 6,500 | <u>(1)</u> | 10/31/2021 | Common Stock |

Option (Right to Buy)

| | | | | | | | | | | |
|---|---------|------------|--|---|---|-------|--|------------|------------|--------------|
| Non-employee Director Stock Option (Right to Buy) | \$ 66.8 | 03/14/2014 | | G | V | 6,500 | | <u>(1)</u> | 10/31/2021 | Common Stock |
|---|---------|------------|--|---|---|-------|--|------------|------------|--------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| KALKSTEIN MICHAEL 6140 STONERIDGE MALL DRIVE SUITE 590 PLEASANTON, CA 94588 | X | | | |

Signatures

| | |
|--|---------------------|
| /s/ Michael H. Kalkstein | 04/01/2014 |
| <small>**Signature of Reporting Person</small> | <small>Date</small> |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares granted under this option are currently exercisable.

Remarks:

Note that transfer of shares was to a revocable trust and Mr. Kalkstein has not disclaimed beneficial ownership to these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.