Spectra Energy Corp. Form 3 January 09, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Spectra Energy Corp. [SE] À Sayavedra Laura Buss (Month/Day/Year) 01/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 5400 WESTHEIMER COURT (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person HOUSTON, TXÂ 77056 (give title below) (specify below) Form filed by More than One Vice President and Treasurer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 6,229.049 Â Retirement Savings Plan (RSP) 4,526.4857 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	02/27/2017	Common Stock	10,100	\$ 25.64	D	Â
LTIP Phantom Stock Grant Feb 2011	02/22/2014	02/22/2014(2)	Common Stock	1,900	\$ (3)	D	Â
LTIP Phantom Stock Grant Feb 2012	02/21/2015	02/21/2015(2)	Common Stock	1,700	\$ (3)	D	Â
LTIP Phantom Stock Grant Feb 2013	02/19/2016	02/19/2016(2)	Common Stock	1,900	\$ (3)	D	Â
Performance Shares Feb 2011	02/22/2014	(4)	Common Stock	1,550	\$ <u>(5)</u>	D	Â
Performance Shares Feb 2012	02/21/2015	(4)	Common Stock	1,350	\$ <u>(5)</u>	D	Â
Performance Shares Feb 2013	02/19/2016	(4)	Common Stock	1,450	\$ <u>(5)</u>	D	Â
Phantom Shares Esp	(6)	(4)	Common Stock	220.7567	\$ (3)	I	By Trustee Executive Savings Plan

Reporting Owners

Reporting Owner Name / Address	Relationships				
.r. g	Director	10% Owner	Officer	Oth	
Sayavedra Laura Buss 5400 WESTHEIMER COURT HOUSTON, TX 77056	Â	Â	Vice President and Treasurer	Â	

Signatures

/s/ Emily L. Strait, attorney-in-fact for Ms. Sayavedra

01/09/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vested in three annual installments beginning February 2008.
- (2) Grant cliff vests 3 years from date of Grant.
- (3) Converts to Common Stock on a 1-for-1 basis.
- (4) Expiration date not applicable.
- (5) Converts to Common Stock on a 1-for-1 basis. The number of performance shares that vest is based on the achievement of a specified total shareholder return for Spectra Energy Corp Common Stock.

Reporting Owners 2

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(6) Payable upon termination of employment or occurrence of other events as specified in the Spectra Energy Corp Executive Savings Plan, subject to holding periods required by law.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.