Hilltop Holdings Inc. Form 4/A August 29, 2014

### FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005
Estimated average burden hours per

0.5

Section 16.
Form 4 or
Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Hilltop Holdings Inc. [HTH]

Symbol

**SECURITIES** 

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

1(b).

White Alan B

(Print or Type Responses)

1. Name and Address of Reporting Person \*

	(Last)	(First)	(Middle)	3. Date of Earliest Transaction								
200 CRESCENT COURT, SUITE 1330				(Month/Day/Year) 08/26/2014					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) PlainsCapital Corporation CEO			
		(Street)		4. If An	nendment, I	Date Origin	ıal		6. Individual or Joint/Group Filing(Check			
DALLAS, TX 75201				Filed(Month/Day/Year) 08/28/2014					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tal	ble I - Non	-Derivativ	e Secu	ırities Acqı	iired, Disposed o	of, or Benefic	ially Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transaction Code (Instr. 8)	4. Securit our Dispos (Instr. 3, 4	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	08/26/2014			S	14,775	D	\$ 20.832 (1)	1,753,958 (2)	I	By Maedgen & White, Ltd.	
	Common Stock	08/27/2014			S	25,000	D	\$ 21.2 (3)	357,267 <u>(4)</u>	D		
	Common Stock								10,239 (2) (5)	I	By Wife	
	Common Stock								23,806 (2)	I	By Double E Investments	
	Common Stock								12,883 (2)	I	By EAW White	

#### Edgar Filing: Hilltop Holdings Inc. - Form 4/A

			Family Partnership, Ltd.
Common Stock	8,045 <u>(2)</u>	I	By Maedgen, White and Maedgen
Common Stock	952 <u>(2)</u>	I	By ESOP
Reminder: Report on a separate line for each class of securities benefit	cially owned directly or indirectly.		
	Persons who respond to the coll information contained in this for		SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

required to respond unless the form displays a currently valid OMB control

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transaction	5. orNumber	6. Date Exerc Expiration D		7. Title an Amount o		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyin	ng	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities		(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 a	nd 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Δm	nount		
								or	iouiit		
						Date Expiration Exercisable Date	Expiration		mber		
							of	111001			
				Code V	(A) (D)				ares		

# **Reporting Owners**

/s/ Alan B.

\*\*Signature of

White

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
White Alan B 200 CRESCENT COURT, SUITE 1330 DALLAS, TX 75201	X		PlainsCapital Corporation CEO				
Signatures							

Reporting Person

08/29/2014

Date

Reporting Owners 2

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$20.80 to \$20.92, inclusive. The reporting person undertakes to provide to Hilltop Holdings Inc., any stockholder of Hilltop Holdings Inc. or the staff of the **(1)** Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within
- such range.
- The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest therein. The reporting (2) person states that neither the filing of this statement nor anything herein shall be deemed an admission that the reporting person is, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of these shares.
  - The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$21.15 to \$20.282,
- inclusive. The reporting person undertakes to provide to Hilltop Holdings Inc., any stockholder of Hilltop Holdings Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within
- (4) Includes 94,893 shares of common stock held in an individual retirement account for the benefit of the reporting person.
- (5) Includes 454 shares of common stock held in the Plains Capital Corporation Employee Stock Ownership Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.