## Edgar Filing: Hunt James K - Form 4

Hunt James H	K											
Form 4	,											
May 16, 2013	_											
FORM	4 <sub>UNITE</sub>	S SECUR	ITIES A	т	OMB APPROVAL							
	UTIT			hington,					Number:	3235-0287		
Check thi		8 /	Expires:	January 31,								
if no long subject to		EMENT O	F CHAN	GES IN I	•	2005						
Section 10				SECURITIES						Estimated average burden hours per		
Form 4 or	:				response 0.5							
Form 5		-						ge Act of 1934,				
obligation may conti				•	•			of 1935 or Section	n			
See Instru		30(h)	of the Inv	vestment	Compan	y Act	of 19	40				
1(b).												
(Print or Type R	(esponses)											
(Thit of Type R	(csponses)											
1. Name and Address of Reporting Person <u>*</u> Hunt James K			2. Issuer Name <b>and</b> Ticker or Trading Symbol				Ø	5. Relationship of Reporting Person(s) to Issuer				
							.9					
			PENNYMAC FINANCIAL SERVICES, INC. [PFSI]									
	(Check all applicable)											
(Last) (First) (Middle)			3. Date of Earliest Transaction					X Director 10% Owner				
			(Month/Da	(Month/Day/Year)					Officer (give title Other (specify			
C/O PENNYMAC FINANCIAL			05/14/2013					below) below)				
SERVICES,	INC., 6101 C	CONDOR										
DRIVE												
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)				
								_X_Form filed by Form filed by	One Reporting Po More than One Ro			
MOORPAR	K, CA 93021							Person	viole mail one R	cporting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of	2. Transaction	Date 2A De		3.	4. Securi			5. Amount of	6. Ownership	-		
Security			on Date, if TransactionAcquired (A) or			Securities	Form: Direct					
(Instr. 3)		any		Code Disposed of (D)			Beneficially	D) or	Beneficial			
		(Month/Day/Year)		(Instr. 8) (Instr. 3, 4 and 5)				Owned Following	Indirect (I)	Ownership		
								Reported	(Instr. 4)	(Instr. 4)		
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A												
Common	05/14/2013			А	4,459	А	\$0	4,459	D			
Stock (1)												

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying tities (. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
reporting 6 when I tune / I ture 55		Director	10% Owner	Officer	Other			
Hunt James K C/O PENNYMAC FINANCIAL SERVIC 6101 CONDOR DRIVE MOORPARK, CA 93021	ES, INC.	X						
Signatures								
/s/ Jeffrey P. Grogin, attorney-in-fact	05/16/2013	3						
<b>**</b> Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Hunt was granted 4,459 Restricted Stock Units on May 14, 2013 by the Board of Directors of PennyMac Financial Services, Inc. (the "Company") in connection with his service as an independent director of the Company. Each Restricted Stock Unit represents a

 contingent right to receive 1 share of the Company's Class A Common Stock upon settlement at the time of vesting. One-third (1/3) of the Restricted Stock Units vest on each of the first, second and third anniversaries of the grant date, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.