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INCANDELA MARGARET A

Form 3

February 04, 2010

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

response...

3235-0104

2005

0.5

Number: January 31, Expires:

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

(Last)

À INCANDELA MARGARET

Α

(First) (Middle) Statement

(Month/Day/Year) 01/28/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HERITAGE COMMERCE CORP [HTBK]

150 ALMADEN BOULEVARD

(Street)

4. Relationship of Reporting Person(s) to Issuer

Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line)

10% Owner _X_ Form filed by One Reporting Person

SAN JOSE, CAÂ 95113

X_ Officer Other (give title below) (specify below) **EVP/Credit Risk Management**

Form filed by More than One

Reporting Person

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of Shares

Security: Security Direct (D) or Indirect (I)

1

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Stock

(Instr. 5)

D

Â

Non-Qualified Stock Option (right to buy)

Heritage
Commerce

Volume 12,000 \$ 7.43

Reporting Owners

Reporting Owner Name / Address		Relationships			
•	Director	10% Owner	Officer	Other	
INCANDELA MARGARET A					
150 ALMADEN BOULEVARD	Â	Â	EVP/Credit Risk Management	Â	

Signatures

Reporting Person

SAN JOSE, CAÂ 95113

Margaret
Incandela

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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