Edgar Filing: Webster Ranson W - Form 4

Webster Ran	son W										
Form 4	<u>_</u>										
May 06, 200	_										A 1
FORM	14 _{UNITE}	D STATES	5 SECUF	RITIES A	ND EX	CHANGE	COMMISSI	ON	OMB	B APPROV	
Chaolt th				shington,					Number	r:	-0287
Check thi if no long subject to Section 1 Form 4 o	6.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31, 2005 Estimated average burden hours per response 0.5		
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section I	7(a) of the	Public U	tility Hol	ding Cor		nge Act of 193 of 1935 or Se 940				
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Webster Ranson W			2. Issuer Name and Ticker or Trading Symbol HERITAGE COMMERCE CORP [HTBK]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last) 150 ALMAI	(First) DEN BOULE	(Middle)	3. Date of (Month/E 05/04/2	-	ransaction		X Directo Officer below)		le	10% Owner Other (specify)	7
CAN LOCE	(Street)			ndment, Da hth/Day/Year	-	ıl	6. Individual Applicable Lin _X_ Form fileo Form filed	ie) 1 by On	e Reportir	-	
SAN JOSE,							Person	Ĵ		1 0	
(City)	(State)	(Zip)		e I - Non-I	Derivative	Securities A	cquired, Dispos	ed of, o	or Benef	icially Owne	ed
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any	med n Date, if Day/Year)	Code	4. Securi onAcquired Disposed (Instr. 3,	l (A) or l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Owne Form Direct or Inc (I) (Instr	ership 1 : (D) direct	7. Nature of I Beneficial Ov (Instr. 4)	
Heritage Commerce Corp - Common Stock				Code V	Amount	(D) Price	540,798	D			
Heritage Commerce Corp - Common Stock							2,417	I]	Indirect	
Heritage Commerce							1,200	Ι]	Indirect by	Trust

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Corp - Common Stock			
Heritage Commerce Corp - Common	1,183 I		Indirectcust/child
Stock Reminder: Report on a separate line for each class of securities benefic	cially owned directly or indirectly.		
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.			SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of Sha
Non-Qualified Stock Option (right to buy)	\$ 7.43	05/04/2009		А	3,500	05/04/2009	05/04/2019	Heritage Commerce Corp - Common Stock	3.
Non-Qualified Stock Option (right to buy)	\$ 14.11					05/27/2004	05/27/2014	Heritage Commerce Corp - Common Stock	7.
Non-Qualified Stock Option (right to buy)	\$ 18.01					05/26/2005	05/26/2015	Heritage Commerce Corp - Common Stock	2.
Non-Qualified Stock Option (right to buy)	\$ 23.85					08/03/2006	08/03/2016	Heritage Commerce Corp -	3.

Common Stock

Heritage Commerce

05/04/2007 05/04/2017

Corp -

Common Stock

(right to buy)

Non-Qualified

Stock Option

Reporting Owners

\$23.89

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

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Webster Ranson W 150 ALMADEN BOULEVARD SAN JOSE, CA 95113

Signatures

By: Janet Walworth as Attorney in fact For: Ranson Webster

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

05/06/2009

Date