

DEPPE MICHAEL J
Form 3
April 03, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â DEPPE MICHAEL J | | (Month/Day/Year) | QUEST DIAGNOSTICS INC [DGX] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | | | |
| 500 PLAZA DRIVE | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| SECAUCUS,Â NJÂ 07094 | | | (give title below) | (specify below) |
| (City) | (State) | (Zip) | VP, Corp. Controller & CAO | |
| | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 23,558 | D | Â |
| 401(k) | 615 ⁽¹⁾ | I | 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

Edgar Filing: DEPPE MICHAEL J - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|---|------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/10/2020 | Common Stock | 5,000 | \$ 55.65 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/15/2021 | Common Stock | 4,750 | \$ 56.82 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/19/2028 | Common Stock | 5,515 | \$ 103.57 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/18/2029 | Common Stock | 7,021 | \$ 86.63 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/21/2027 | Common Stock | 6,261 | \$ 95.795 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/25/2023 | Common Stock | 6,371 | \$ 56.12 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/25/2026 | Common Stock | 10,120 | \$ 66.51 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/22/2022 | Common Stock | 5,700 | \$ 57.605 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/23/2025 | Common Stock | 8,635 | \$ 71.17 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 02/13/2024 | Common Stock | 9,126 | \$ 52.165 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| DEPPE MICHAEL J 500 PLAZA DRIVE SECAUCUS, NJ 07094 | Â | Â | Â VP, Corp. Controller & CAO | Â |

Signatures

William J. O'Shaughnessy, Jr. Attorney in Fact for Michael J. Deppe 04/03/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These underlying shares were acquired on a periodic basis by the trustee of the Company's tax qualified Profit Sharing (401(k)) Plan. The information was obtained from the plan administrator as of a current date. The number of shares is based on the account balance of the Company stock fund under the Plan (which includes some money market instruments) divided by the market price of the Company's stock as of that date.

Edgar Filing: DEPPE MICHAEL J - Form 3

(2) The options vest in three equal annual installments beginning on the first annual anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.